**Non‐Brown Investigator Conflict of Interest (COI) Disclosure Form**

**Brown University Office of Vice President for Research**

## To be used only for non-Brown investigators on Brown IRB studies. Please send the completed form to [COI@brown.edu](file:///%5C%5Cfiles.brown.edu%5Cdfs%5CResearchProtection_Shared%5CCOI%20%26%20COC%5CNon-Brown%20FCOI%20Assurance%20forms%5Ctemplates%5CCOI%40brown.edu).

### Please refer to the Brown University policy regarding Conflict of Interest at: https://www.brown.edu/research/COIpolicy

Name of Investigator completing the form:

Investigator Email address: Investigator Phone:

Institution/Employer Name: \_

Title of IRB Protocol:

Brown Principal Investigator:

**This form collects information about financial interests and other activities that may be relevant to the IRB study and may create Financial Conflicts of Interests (FCOIs) with the research. If you acquire a new interest or activity relevant to this IRB study, promptly submit a new version of this form to** **COI@brown.edu****.**

Significant Financial Interests

A “Significant Financial Interest” (SFI) is defined as the receipt by you, your spouse, or your dependent children of any of the following:

* **Income that exceeds $5,000** from any outside entity, measured on a rolling 12‐month basis. This may be one payment from a particular company of more than $5000, or multiple payments from the same company that in the aggregate exceed $5000 and are received within the previous 12 months.
* Acquisition of **equity in a public company that exceeds $5000** in value;
* **Aggregated income and equity/ownership interest** from a public company **that exceeds $5,000**, as measured on a rolling 12‐month basis;
* **ANY equity/ownership interest in a privately‐held company**;
* **An income that exceeds $5,000 from rights in intellectual property**, as measured on a rolling 12 month basis;
* **All reimbursed and “sponsored” travel that exceeds $5,000**, as measured on a rolling 12‐month basis.

Excluded from this definition are payments (including travel) received from Brown. Also excluded from this definition are payments received from any of the following entities, provided these payments are received for teaching engagements, lectures, seminars, or services on advisory committees or review panels:

* Government agencies
* US institutions of higher education and research institutes affiliated with them
* Academic teaching hospitals
* Medical centers

Further, you **do not** have to report:

* **Salary**, royalties, travel, or other remuneration **through your employer**
* Income from investment vehicles over which you do not exercise control, such as mutual funds and retirement accounts

# Question 1: Do you, including your spouse and dependent children, have any Significant Financial Interests (SFIs) related to your responsibilities or research conducted at Brown?

* Yes, I have Significant Financial Interests to report. (please provide information about your SFIs including reimbursed and sponsored travel on page 3)
* No, I have no Significant Financial Interests, including travel, to report.

Question 2: Do you serve in an uncompensated or minimally compensated position of responsibility, such as an Officer, Director, or member of the Board of Directors, at an external entity or organization that is related to your responsibilities on this IRB study?

* Yes, I have uncompensated or minimally compensated positions to report (please provide information below)
* No, I have no uncompensated or minimally compensated positions to report.

(Fillable text box)

Question 3: Do you have any other activities or interests that could affect or could be affected by this IRB study?

* Yes, I have other activities or interests to report (please provide information below)
* No, I have no other activities or interests to report.

(Fillable text box)

### **By submitting this form you are confirming that you have read and will abide by Brown University’s** [**Conflict of Interest in Research Policy**](https://policy.brown.edu/policy/conflict-interest-research-policy)**. You understand your obligation to promptly (for PHS-funded studies, within 30 days) disclose any change(s) and any new significant financial interest or other conflict of interest that may arise after submission of this form.**

**Investigator Signature: Date:**

**The information provided below will be treated as Confidential. Please contact the Office of Research Integrity at** **COI@brown.edu** **or (401) 863-3050 with any questions or concerns.**

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| --- | --- | --- | --- |
| Interest/Activity Type | Entity Name | Interest/ compensation/ reimbursement amount | Describe interest/activity (person holding interest, work performed…) |
| Equity/Ownership (stock, stock options…) |  |  |  |
| Remuneration (consulting fees, speaker’s bureau, honoraria…) |  |  |  |
| Associations, Memberships, Positions (board of directors, offices…) |  |  |  |
| Intellectual Property rights/ Royalty Income (not via UH) |  |  |  |
| Travel |  |  | Destination/Duration/Purpose: |

Additional Comments: